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Gina

O.K.
5/23/2018
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MAY 1 2018
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ORANGE COUNTY

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

(For calendar year 2017)

File with: Orange County Board of Ethics
Orange County Government Center
255-275 Main Street
Goshen, New York 10924
Telephone (845) 291-2462
Email: GSicina@orangecountygov.com

- Filing Deadlines:
1. No later than May 1 of each year;
 2. Within 60 days of taking office;
 3. Within 7 days after the filing of nominating petitions for
A candidate seeking the office of any elected official for the
County of Orange (*County Executive, District Attorney,
Sheriff, County Clerk or County Legislator*).

(In responding to questions, please refer to the Orange County Department of General Services website for a list of entities doing business with the County of Orange.)

PLEASE BE ADVISED

YOU HAVE A CONTINUING DUTY TO MAKE ADDITIONAL DISCLOSURE WHENEVER NEW OR DIFFERENT INFORMATION SUBJECT TO DISCLOSURE IS DISCOVERED.

PLEASE ANSWER ALL QUESTIONS. LEAVE NO RESPONSES BLANK. IF THE ANSWER IS NONE OR N/A, "NONE OR N/A" MUST BE WRITTEN ON THE RESPONSE LINE.

1. Name and Address:

Name ... MICHAEL AMO

Title ... O.C. LEGISLATOR (District #1)

Department or Agency ... LEGISLATURE

County Address Orange County GOVERNMENT CENTER

County Telephone 845-~~388~~ 291-4800
(If none then provide another telephone number where you can be reached during the day).

2. Spouse and Members of Your Household

- a. Marital status WIDOWER. If married, please give spouse's full name including maiden name where applicable. (See below for definitions).
(If not applicable write "None" or "N/A.")

NA
Spouse

- b. List the names of all other members of your household other than unemancipated children. (If not applicable write "None" or "N/A.")

Niki LEE ROWE

"Spouse" means your husband or wife unless you are living separate and apart with the intention of terminating the marriage or providing for permanent separation or unless separated pursuant to: (a) a judicial order, decree or judgment, or (b) a legally binding separation agreement.

"Family member/member of household" means your spouse, unemancipated child or a person claimed as a dependent on your latest individual or joint state income tax return or unrelated persons who continually or at regular intervals lives in or, in the preceding calendar year, continually or at regular intervals lived in your household.

"Unemancipated child" shall mean any son, daughter, stepson or stepdaughter, under age eighteen, unmarried and living in the household of the reporting individual.

Answer each of the following questions completely, with respect to calendar year 2017, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

"Calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories:

- Category A - under \$5,000;
- Category B - \$5,000 to under \$20,000;
- Category C - \$20,000 to under \$60,000;
- Category D - \$60,000 to under \$100,000;
- Category E - \$100,000 to under \$250,000; and
- Category F - \$250,000 or over.

Please indicate the Category by letter only.

Whenever, the term "INTEREST" is used it means: a direct or indirect pecuniary or material benefit accruing to a County officer or employee **AS A RESULT OF A CONTRACT WITH THE COUNTY** which such officer or employee serves. A County officer or employee shall be deemed to have an interest in the contract of:

- A. His or her spouse, unemancipated children, dependents, and members of the household except a contract of employment with the County which such officer or employee serves;
- B. A firm, partnership, company, or association of which such officer or employee is a member or employee of;
- C. A corporation of which such officer or employee is an officer, director or employee; and,
- D. A corporation, any stock of which is owned and controlled directly or indirectly by such officer or employee where such officer or employee owns five percent or more of outstanding stock but shall not include any publically traded corporation.

3. Outside Employment

- a. *If you were engaged in any outside occupation, employment, trade, business or profession which had a contract with the County of Orange, list the name, address and description of such occupation, employment, trade, business or profession and the name of the County department/agency which had said contract .
(If not applicable write "None" or "N/A.")*

<u>Position</u>	<u>Name & Address of Organization</u>	<u>Description</u>	<u>County Department/Agency</u>
N/A			

- b. If your spouse, unemancipated child or a member of your household was engaged in any occupation, employment, trade, business or profession **which had a contract with the County of Orange**, list the name, address and description of such occupation, employment, trade, business or profession and the name of the County department/agency which had said contract. *(If not applicable, write "None" or "N/A")*.

<u>Position</u>	<u>Name & Address of Organization</u>	<u>Description</u>	<u>County Department/Agency</u>
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N/A

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4. Positions

- a. List any office, trusteeship, directorship, partnership, or position of any nature, including honorary positions, and excluding membership positions, whether compensated or not, **HELD BY YOU**, with any firm, corporation, association, partnership, or other organization other than the State of New York or the County of Orange, **which had a contract with the County of Orange**. List the name and address of any such entity and the county department/agency which had said contract. *(If not applicable, write "None" or "N/A")*.

<u>Position</u>	<u>Name & Address of Organization</u>	<u>County Department/ Agency</u>
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N/A

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b. List any office, trusteeship, directorship, partnership, or position of any nature, including honorary positions, and excluding membership positions, whether compensated or not, **HELD BY YOUR SPOUSE, UNEMANCIPATED CHILD, OR A MEMBER OF YOUR HOUSEHOLD**, with any firm, corporation, association, partnership, or other organization other than the State of New York or the County of Orange, **which had a contract with the County of Orange**. List the name and address of any such entity and the county department/agency which had said contract. (If not applicable, write "None" or "N/A").

<u>Individual's Name/Relationship</u>	<u>Position</u>	<u>Name & Address Organization</u>	<u>County Department/Agency</u>
NIKI LEE BOWE		NEW YORK STATE	GENERAL
MEMBER OF HOUSEHOLD	SECRETARY	DISPUTE RESOLUTION	SERVICES
	of the	ASSOCIATION, INC.	
	Board	ALBANY, NY.	

5. Financial Benefits from Current/Prior Employers

List employment benefits made on your behalf from your current or a prior employer or other source but only if that entity had a contract with the County of Orange as follows: (If not applicable, write "None" or "N/A")

N/A Any monies in excess of \$1,000 made on your behalf including deferred income, contributions to a pension or retirement fund, profit sharing plan, severance pay, or payments under a buy-out agreement.

N/A Agreements for the continuation of payments or benefits to you in excess of \$1,000. This includes an interest in or contributions to a pension fund or retirement fund, profit-sharing plan, life or health insurance, buy-out agreements, severance agreements, etc.)

<u>Name and Address of source</u>	<u>Description of Income</u>	<u>Category Value</u>
<u>N/A</u>		

(If not applicable, write "None" or "N/A").

6. Investments

Itemize and describe all investments **in excess of five percent (5%)** of the value in any business, corporation, partnership, or other assets including stocks, bonds, I.R.A.'s, loans, pledge collateral, and other investments, that you, your spouse, unemancipated children or members of your household owned in **any entity that had a contract with the County of Orange**. (If not applicable, write "None" or "N/A").

<u>Family Member Name</u>	<u>Name & Address of Business</u>	<u>Description of Investment</u>	<u>Category Value</u>
N/A			

- The definition for each type of investment shall be that as defined by the Internal Revenue Code.

7. Interests in Businesses

List any interests you, your spouse or a member of your household owns as a member or corporation, having an aggregate value of five percent (5%) or more of the stock which is owned or controlled by you, your spouse and a member of your household, combined, in **any entity that had a contract with the County of Orange**. (If not applicable, write "None" or "N/A").

<u>Individual's Name</u>	<u>Name & Address of Entity</u>	<u>Description of Ownership Interest</u>	<u>Category Value</u>
N/A			

8. Other Income

Identify the source and nature of any other income **in excess of \$5,000/year** from any source not described above, including teaching income, lecture fees, consultant fees, contractual income, or other income of any nature for you, your spouse, unemancipated child or a member of your household from **any entity that had a contract with the County of Orange**. (If not applicable, write "None" or "N/A").

<u>Individual's Name</u>	<u>Name & Address of Entity</u>	<u>Description of Income</u>	<u>Category Value</u>
N/A			

9. Interests in Contracts

Describe any interest you, your spouse, unemancipated child or a member of your household **had in any contract with the County of Orange**. Do not include benefits derived from being a county employee, including but limited to union benefits, health insurance coverage, dental, vision coverage deferred compensation and disability benefits. (If not applicable, write "None" or "N/A").

<u>Individual's Name/Relationship</u>	<u>Name & Address of Entity</u>	<u>Description of Interest</u>	<u>Category Value</u>
Niki Lee Rowe	NYS Dispute	Volunteer	NONE
member of household	Resolution Association	on Project	
	Albany NY		

10. Liabilities

List all liabilities that you, your spouse, unemancipated child or a member of your household owed **in excess of \$5,000 to any entity that had a contract with the County of Orange**. **DO NOT LIST:** (i) debts incurred or made in the ordinary course of trade, business or professional practice, (ii) revolving charge account information that is less than \$5,000 at the time of filing; (iii) obligations to pay maintenance in connection with a matrimonial action, alimony or child support payments; (iv) loans issued in the

ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or the purchase of a personally owned vehicle, household furniture or appliances. (If not applicable, write "None" or "N/A").

<u>Individuals' Name/Relationship</u>	<u>Name of Creditor</u>	<u>Type of Liability</u>	<u>Category Value</u>
N/A			

11. State Licenses

- a. If you practice law, or are licensed by the department of state as a real estate broker or agent or practice a profession licensed by the department of education, give a general description of the principal subject areas of matters that you handle. In addition, if you practice with a firm or corporation or are a partner or shareholder of member of the firm or corporation, give a general description of the principal subject areas of matters undertaken by such firm or corporation.
 (If not applicable write "None" or "N/A.")

N/A

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- b. Describe any participation you may have had in negotiating a contract on behalf of a client or customer with the County of Orange. List any legal representation you provided in the reporting year which involved the County of Orange, its departments, agencies or its employees. **Do not list** the name of the individual clients, customers or patients. **Do not list** matters brought before the Orange County Family Court or matrimonial, custody, maintenance or support issues that were brought before State

Supreme Court or criminal/traffic infractions before a county court or local justice court. (If not applicable, write "None" or "N/A").

N/A

12. Gifts and Honorariums

List the source of all gifts **valued over \$75 received from** the same donor, excluding campaign contributions and gifts from relatives, received during the reporting period by you, your spouse, unemancipated child or a member of your household. The term "gifts" includes money, services, travel, lodging, meals, refreshments, entertainment, discount, loans, forbearance or promise, having a monetary value. (If not applicable, write "None" or "N/A").

<u>Individual's Name/Relationship</u>	<u>Donor</u>	<u>Address</u>	<u>Category</u>	<u>Value</u>
N/A				

County Gift Prohibition: A county officer or employee shall not accept or solicit any gift **valued over \$75 from the same donor**, nor shall he/she accept or solicit any gift or financial benefit under circumstances in which it could reasonably be inferred that the gift was intended to influence such county officer or employee in the performance of his/her official duties.

13. Third Party Reimbursements

Identify and briefly describe the source of any reimbursements for expenditures, excluding campaign expenditures and expenditures in connection with official duties reimbursed by the County of Orange for which this statement has been filed, in excess of \$1,000 from each such source. The term "reimbursement" includes any travel-related expenses provided by anyone other than the County for activities relating to your official duties such as speaking engagements, conferences, or fact finding events. Attach any written authorizations you received by the County Executive, Chairman of the Legislature or supervisor for the acceptance of this reimbursement. (If not applicable, write "None" or "N/A").

<u>Source</u>	<u>Description</u>
N/A	

14. Employment/Contracts Relationships with the County

Identify the name and address of any immediate family member or member of your household who has sought or is seeking a contractual relationship with the County of Orange or, who is employed, or is seeking employment within any department or agency of the County. For purposes of responding to this question "immediate family member" shall mean a person related equal to or closer in degree, by blood or marriage, than first cousin. (If not applicable, write "None" or "N/A").

<u>Individual's Name/Relationship/</u>	<u>Address</u>	<u>Nature of Employment/Contractual Relationship</u>
N/A		

15. Future Employment

Describe the terms of, and the parties to, any contract, promise, or other agreement between you and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence). (If not applicable, write "None" or "N/A").

N/A

16. Real Property Interests

List the location, size, general nature, acquisition date, market value and percentage of ownership of any real property located in or adjacent to Orange County in which you, your spouse, unemancipated child or a member of your household owned or had an interest in which has a value **in excess of \$1,000** which was **the subject of a contract with the County of Orange**. Do not list any real property which is the primary or secondary residence for you, your spouse or a member of your household's or any real property held in trust for the benefit of a family member, unless such real property is the subject of a contract with the County of Orange. (If not applicable, write "None" or "N/A").

Individual's Name/Relationship Location Size General Nature Acquisition Date Category of FMV % of Ownership

N/A

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17. Political Parties

List any position you held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "Party" shall have the same meaning as "party" in N.Y.S. Election law. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party or independent party. (If not applicable, write "None" or "N/A").

- ① Independence Party of NY - STATE COMMITTEEMAN - Michael Amo.
- ② Independence Party of NY - STATE COMMITTEEMAN - Nike Lee Rowe
(MEMBER OF HOUSEHOLD)
- ③ Independence Party of NY Member STATE EXECUTIVE COMMITTEE - Michael Amo

18. Voluntary Disclosure

Please disclose any other information you believe may be helpful to the Board of Ethics in determining whether a conflict of interest may exist for you, which has not been identified in responding to paragraphs 1-17 of this disclosure statement.

Nike Lee Rowe, member of Household, is secretary to Board of Directors of the NYS Dispute Resolution Association, Inc which has a contract with Orange County for Collaborative Coverage - There is NO FINANCIAL BENEFIT to Ms Rowe.

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

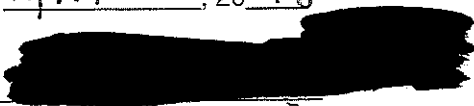


 Signature of Reporting Individual

4/26/18

 Date (month/day/year)

State of New York
 County of ORANGE

Sworn to before me this 26 day
 of April, 20 18


 Notary Signature and Stamp

ANTOINETTE REED
 Notary Public, State of New York
 No. 02RE6014495
 Qualified in Orange County
 My Commission Expires October 13, 20 18

A reporting individual who knowingly and willfully fails to file an annual statement of financial disclosure or who knowingly and willfully with intent to deceive makes a false statement or gives information which such individual knows to be false on such statement of financial disclosure filed pursuant to Orange County's Ethic's Law shall be subject to a civil penalty in an amount not to exceed ten thousand dollars or referral of a violation to the appropriate prosecutor and upon such conviction, such violation shall be punishable as a class A misdemeanor.

Attachment
for 17-(1,2)



Orange County Board of Ethics

15 Matthews Street, Suite 202

Goshen, New York 10924

Tel. 845-291-2462

October 13, 2016

Hon. Michael Amo
16 Summit Avenue
Central Valley, NY 10917

Dear Mr. Amo:

Please be advised that correspondence disclosing your petition and Ms. Rowe's petition as candidates of the Independence Party for the office/position of State Committee, which became effective September 14, 2016 has been officially received by the Ethics Board at their September 22, 2016 meeting and filed. This disclosure will be attached to your disclosure form already on file in this office.

Please do not hesitate to contact me if you have any questions.

Very truly yours,

Gail Sicina, Chair
Board of Ethics

Attachment For #,
#17-3



Orange County Board of Ethics
15 Matthews Street, Suite 202
Goshen, New York 10924
Tel. 845-291-2462

March 25, 2016

Hon. Michael Amo
16 Summit Avenue
Central Valley, NY 10917

Dear Mr. Amo:

Please be advised that correspondence disclosing your appointment to the Independence Party's State Executive Committee has been reviewed by the Board of Ethics and finds there is no conflict. The Board recommends that you include this information regarding your appointment in your disclosure form.

Please do not hesitate to contact me if you have any questions.

Very truly yours,

Gail Sicina, Chair
Board of Ethics



INDEPENDENCE PARTY
OF NEW YORK

Attachment # 17-3
Independence Party of New York

PO Box 7204
Albany, NY 12224

February 9, 2015

Mr. Michael Amo
16 Summit Ave
Central Valley, New York 10917

Dear Michael,

It is my pleasure to inform you that at a recent meeting of the State Committee of the Independence Party of New York, you were appointed to the party's State Executive Committee.

I look forward to working with you on issues within Orange County as well as issues across our state.

Please feel free to reach out to me at anytime.

Sincerely,

Frank MacKay
Chairman
Independence Party of New York



County Legislature

Michael Amo, Independence Party Leader
1st Legislative District

16 Summit Avenue
Central Valley, New York 10917

Tel: (845) 291-4800 Fax: (845) 291-4809

May 7, 2014

Jean M. Ramppen, Clerk
Orange County Legislature
15 Matthews Street
Goshen, NY 10924

Dear Clerk Ramppen:

On February 6, 2014, I notified the Orange County Board of Ethics of my involvement in the New York State Dispute Resolution project with Orange County Legislature on Collaborative Governance. In a letter dated May 1, 2014, the Board of Ethics determined a conflict does not exist. However, they require that I officially notify the Legislature of this interest.

"The New York State Dispute Resolution Association, Inc. (NYSDRA) is a not-for-profit membership organization committed to the promotion of quality conflict management and peaceful dispute resolution. Through leadership, education, promotion of professional standards and training and development of innovative statewide programs, NYSDRA provides opportunities for people, communities, organizations and businesses to effectively respond to conflict."¹

I met with the Director of The New York State Association of Counties (NYSAC) to promote the fine work of NYSDRA. NYSAC, decided to offer NYSDRA Collaborative Governance seminars at their 2014 annual conference. Orange County leadership, of which I am one, decided to be the first county in the State of New York to participate in a NYSDRA pilot program. The County Legislature appropriated \$9,850 to fund the NYSDRA training program (Resolution No. 8 of 2014).

A member of my household serves as a volunteer member of the Board of Directors. Neither I nor any member of my household has a pecuniary interest in the contract or in NYSDRA.

Please disclose this letter to all legislators and make it part of the official proceedings of the Orange County Legislature.

Very truly yours,

Michael Amo

Michael Amo

From: "Michael Amo" <mamo46@optonline.net>
Date: Wednesday, May 07, 2014 10:33 AM
To: "Jean Ramppen" <JRamppen@orangecountygov.com>
Cc: "Kelly Bradley" <kbradley@co.orange.ny.us>; "Steve Brescia" <brescia@frontiernet.net>
Attach: Ethics.pdf
Subject: Fw: Board of ethics

Jean

Below is the e-mail I sent to the Board of Ethics on February 6, 2014 regarding my involvement in the New York State Dispute Resolution project with Orange County Legislature on Collaborative Governance. In a letter dated May 1, 2014, the Board of Ethics determine a conflict does not exist. However, they require that I officially notify the Legislature of this interest. I am attaching a copy of their letter to me. Please use this e-mail for my official notification to the Legislature and file it in the record accordingly.

Thank you.

Michael Amo

From: Michael Amo
Sent: Thursday, February 06, 2014 11:55 AM
To: Don Nichol ; Gailret@earthlink.net
Cc: Antoinette Reed
Subject: Board of ethics

Ms. Siciina and Mr. Nichol

Through an abundance of caution and although I have been advised that I am not legally required to do so, I wish to describe my involvement concerning a Resolution coming before the Orange County Legislature's February Board meeting. After reviewing all aspects and my intention, I believe there is no ethical issue or reason to amend my Financial Disclosure Statement for 2014. Here are the facts.

In February 2013, Mr. Stephen Aquiro, Executive Director of New York Association of Counties (NYSAC) met with me to discuss ideas for helping Legislators and Supervisors across the state to reach consensus on issues before them. I recommended that he contact the New York State Dispute Resolution Association (NYSDRA). Niki Lee Rowe, my significant other and member of my household, is the non-paid, voluntary Member of the Board of Directors for that Association (NYSDRA) and is currently acting as Secretary of that Board.

Through a number of meetings between NYSAC and NYSDRA they decided to offer a program at the NYSAC annual conferences which NYSDRA would do. The first one in September was a huge success leading to NYSAC requesting that they do two programs for their February 2014 conference. I was asked by Mr. Aquiro to introduce these programs at both conferences.

Further, NYSDRA and NYSAC decided to offer all counties consultation services to help their elected officials create consensus. I proposed that Orange County be one of the pilots for this project. The Resolution before the Legislature this month is to establish that arrangement.

I am certifying that neither I nor any member of my Household receives any financial benefit from Orange County from the above project. Also, that I do not have any official relationship with NYSDRA that will result in

Attachment Fr # 18



ORANGE COUNTY BOARD OF ETHICS

15 Matthews Street, Suite 202
Goshen, New York 10924
845-291-2462

May 1, 2014

Hon. Michael Amo, County Legislator
16 Summit Ave.
Central Valley, NY 10917

Re: Disclosure

PERSONAL & CONFIDENTIAL

Dear Mr. Amo:

The Board of Ethics received and reviewed your supplemental disclosure dated February 6, 2014, and invited you to its March 13, 2014 meeting. The Board, in furtherance of its duties pursuant to Section 4(d) of the Ethics Law, has determined that no conflict of interest existed in the situation disclosed due to the lack of pecuniary or material benefit to you or Ms. Rowe, a member of your household, and the specific exception contained in General Municipal Law §802 (1)(f) in relation to voluntary non-profit corporations.

Pursuant to Section 5(6) of the Orange County Code of Ethics, an official having an "interest in a matter being considered by the Legislature of the County of Orange ... shall publicly disclose on the official record the nature and the extent of such interest." General Municipal Law §803 requires such disclosure to be in writing and to be part of and "set forth in the official record of proceedings of such body." The County Code of Ethics requires that you also "file a written summary of said public disclosure with the Board of Ethics within 10 days after said public disclosure." The Board of Ethics will accept your February 6, 2014 disclosure as meeting that latter requirement provided you either have already filed, or immediately hereafter do file, a written disclosure with the Clerk of the Legislature to be included in the official record. The Board notes that Section 5(6) would require the initial written disclosure at the time the matter is "being considered" by the Legislature.

Thank you for you cooperation.

Sincerely,

Gail Sicina
Gail Sicina, Chairwoman

Cc: Board of Ethics